**APPLICATION FOR WNSET INVESTMENT FUND**

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| **NAME OF SOCIAL ENTERPRISE & COMPANY NUMBER** |  |
| **ADDRESS** |  |
| **POSTCODE** |  |
| **POSTCODE IN WHICH THE SOCIAL ENTERPRISE OPERATES** (must be one of the priority postcodes for the fund see website for details) |  |
| **Turnover (Last completed year)** |  |
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| **NAME OF CONTACT** |  |
| **CONTACT EMAIL** |  |
| **CONTACT PHONE NUMBER** |  |
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| **INVESTMENT FUND APPLIED FOR. (delete as appropriate)** | **Start up / Build it up** |
| **Grant amount requested** | **£** |
| **Describe the social impact your organisation has had or will have (Max 200 words)** |  |
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| **1.a PURPOSE**  Please provide a brief outline of what the investment will be used for. (max 150 words) | |  |
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| **1.b WHAT IS THE SOCIAL MISSION OF YOUR SOCIAL ENTERPRISE**  Provide details of the social mission, the societal challenge, and legal structure of your social enterprise. (max 250 words) | |  |
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| **1.c WHY IS YOUR SOCIAL ENTERPRISE BEST SUITED TO DELIVER THIS PROPOSAL**  Give details of your experience to date and what makes your organisation so well placed to deliver this support (max 500 words) | |  |
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| **1.d WHY IS INVESTMENT SUPPORT FROM WNSET NEEDED.**  Please outline why investment is needed.  For applicants to the Build it up Investment, please also provide copies of last two years financial information and for Start-up investment a 1-year cashflow forecast (max 200 words) | |  |
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| **2. SOCIAL IMPACT**  Please provide details of the social and/or environmental impact you intend to create through your social enterprise and as a result of this investment. provide details of the postcode areas in which you will operate. (max 500 words) | |  |
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| Please provide details of the types of clients/customers you work with or support through your organisation. Please include types and number of outputs you hope to achieve. | | | |
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| **3. OUTLINE ANY PROSPECTIVE AND/OR SECURED ADDITIONAL FUNDING, INCLUDING TRADED INCOME STREAMS, MATCH FUNDING ARRANGEMENTS, THAT WILL BE USED TO DELIVER YOUR PROPOSAL.**  Please provide details of all/any additional funding you have or will apply to the proposal from any source. Provide any supporting evidence you feel appropriate to support your application. (max 250 words) |  |
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| **4. OUTLINE ANY COLLBORATIVE ARRANGEMENTS IN PLACE WITH OTHER ORGANISATIONS TO SUPPORT DELIVERY**  This can include referral agencies, partnerships with other social enterprise, and statutory agencies where appropriate (max 200 words) |  |
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| **5. SUPPORTING DOCUMENTATION CHECKLIST** |  |
| 1. For existing social enterprises, provide last two years trading accounts.   b. Provided quotes for items to be purchased with the investment funding  c. Provide a 1 year Business Plan  d. Any other documents to support application (please list) |  |
| **6**. **SCORING MATRIX**  The application will be scored against the following matrix |  |
| |  |  | | --- | --- | | **Criteria** | **Score 0 - 5** | | Demonstration of Evidence of Need and demand |  | | Demonstration of the Reach and Impact of the proposal |  | | Demonstrate Commitment to Inclusion & Diversity |  | | Deliverables |  | |  |  | | **Total (Max 30) Score min 20 to progress to panel** | 0 | |  |

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| **Subsidy Controls**  We are required to comply with the Subsidy Control Act 2022. Please confirm whether or not you have received less than £315,000 of subsidy control under minimal financial assistance over the last 3 years. This includes any aid you received under both de minimis and Trade and Co-operation Agreement in the last 3 years. |
| **Declaration for Subsidy Controls**  I confirm that the organisation has received the following amounts of aid and/or financial assistance (in aggregate) under (a) the De Minimis Regulation under the Commission Regulation 1407/2013 of 18 December 2013, (b) the Small Amounts of Funding Allowance under the UK-EU Trade and Co-operation Agreement, and (c) Minimum Financial Assistance under the Subsidy Control Act 2022, in its current accounting year and the previous two accounting years. Please include any De Minimus Aid or Minimal Financial Assistance applied for, or anticipated, which would be provided in the current accounting year. |
| |  |  |  | | --- | --- | --- | | Date of Assistance | Value of Assistance | Organisation providing the assistance/subsidies and description of aid received | |  |  |  | |  |  |  | |  |  |  | |  |  |  | |

Conflict of Interest Declaration

Applicants should ensure that there is no conflict of interest when applying for the WNSET Investment Fund. Conflict of interest refers to situations in which personal interests (which may include financial interests) may compromise, or have the appearance of, or potential for, compromising the selection of a supplier or a relationship with any of the funding partners (University of Northampton, West Northamptonshire Council & WNSET).

Examples of conflicts of interest include: *(This is not an exhaustive list)*

* Having a financial interest (e.g. holding shares or options) in a potential tenderer or any entity involved in any tendering consortium.
* Having a financial interest (e.g. holding shares or options) in any of the funding partners.
* Being employed by (as staff member or volunteer) or providing services to any potential tenderer.
* Being employed by (as staff member or volunteer) or providing services to any of the funding partners.
* Being a member of a potential tenderer’s management/executive board.
* Being a member of any of the funding partners’ management/executive board.
* Receiving any kind of monetary payment or non-monetary gift or incentive (including hospitality) from any tenderer or its representatives.
* Receiving any kind of monetary payment or non-monetary gift or incentive (including hospitality) from any of the funding partners.
* Having a partner or spouse who falls into any of the categories outlined above

**Please provide details below of interests where there might be potential for**

**conflict between the applicant, their suppliers or funding partners.**

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**Declaration for conflict of interest**

I hereby declare that the information stated above is true to the best of my knowledge.

**Signed:**

**Dated:**

If any conflict of interest or potential conflict of interest between the applicant, their supplier or funding partners, or any combination thereof becomes apparent, they shall inform the funding partners immediately. In such circumstances, the funding partners shall, at their absolute discretion, decide on the appropriate course of action.

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| **University of Northampton Data Protection**  We are the University of Northampton and we process your personal data to provide you with University services, to undertake our responsibilities and legal/statutory obligations, and to monitor our own performance. The University of Northampton is a data controller, registered with the Information Commissioner’s Office, and for the purposes of the Data Protection Act 2018 and the General Data Protection Regulation (GDPR), we have appointed a Data Protection Officer who has formal responsibility for data protection compliance within the organisation.  The GDPR requires personal data to be processed in a manner that ensures its security. This includes protection against unauthorised or unlawful processing and against accidental loss, destruction or damage. The university takes all steps reasonably necessary to ensure that your data is treated securely and in accordance with this Privacy Policy  **Data Protection Consent** |
| Do you agree to your data being used as described?           YES / NO |

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| **University of Northampton** |  |
| Date received |  |
| Date assessed |  |
| Signature of reviewer |  |